

PATENT  
Atty. Dkt. IBM/0013

3627

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: §  
Rabindranath Dutta, et al §  
Serial No.: 09/963,715 §  
Filed: September 26, 2001 §  
For: Method and System for §  
Parental Controlled E-Commerce §

Group Art Unit: 3627

Examiner: Fischetti, Joseph A.

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

CERTIFICATE OF MAILING	
37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U. S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 on this date below:	
9/14/2004	<i>Yolanda Charles</i>
Date	Signature

INFORMATION DISCLOSURE STATEMENT

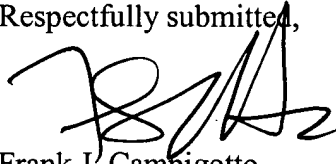
Pursuant to the duty of candor and good faith set forth in 37 C.F.R. § 1.56, the Applicant hereby discloses on behalf of individuals associated with the filing and prosecution of the present patent application information that might be material to patentability. This disclosure is presented via the enclosed Form PTO-1449.

This disclosure is not intended to constitute an admission that any information is "prior art" with respect to the presently claimed invention.

Copies of the patents and publications cited among the disclosed information are enclosed herewith, with the exception of U.S. Patents & U.S. Patent Applications the requirement for copies of which has been waived by the Office Notice of July 11, 2003 (*if the present patent application was filed after June 30, 2003*).

In the event a fee is required in connection with the enclosed Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 50-0714/IBM/0013 for the necessary amount.

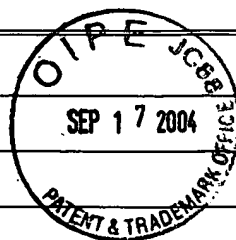
Respectfully submitted,

A handwritten signature in black ink, appearing to read 'F. Campigotto', written over the typed name.

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U.S. Department of Commerce, Patent and Trademark Office



Docket No.

Serial No.

AUS920010319US1

09/963,715

## LIST OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Applicant

Rabindranath Dutta

Ramamoorthy Karthikeyan

Filing Date

Group

09/26/2001

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## U.S. Patent Documents

*Examiner Initial	Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	5,615,110	Mar. 25, 1997	Wong			
	5,890,137	Mar. 30, 1999	Kabushiki Kaisha			
	5,960,411	Sep. 28, 1999	Hartman, et al			
	5,970,469	Oct. 19, 1999	Michael C. Scroggle, et al			
	6,014,634	Jan. 11, 2000	Michael C. Scroggle, et al			
	6,014,636	Jan. 11, 2000	Kenneth Rodney Reeder			
	6,101,485	Aug. 08, 2000	Keith N. Fortenberry, et al			
	6,185,541	Feb. 06, 2001	Michael Scroggie, et al			

## Foreign Patent Documents

Translation

Document Number	Date	Country	Class	Subclass	Yes	No
Abstract of JP10063590	Aug. 26, 1996	Japan				
Abstract of JP10003501	Jun. 18, 1996	Japan				
CA2153727	Jul. 12, 1995	Canada				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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